



UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. MBHB 02-004)

3762 #4

PATENT
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The Application of:

Dirk Wiechmann et al.

Serial No.: 10/075,676

Filed: February 13, 2002

For: Modular System for Customized
Orthodontic Appliances

Confirmation No. 2653

Group Art Unit: 3762

Examiner: Unknown

TRANSMITTAL LETTER

Commissioner for Patents
Washington DC 20231

Sir:

1. We are transmitting herewith the attached:
 1. Information Disclosure Statement
 2. Form PTO-1449
 3. Cited References
 4. Postcard
2. With respect to additional fees:
 - A. ☒ No additional fee is required.
 - B. ☐ Attached is a check in the amount of \$6,000.
 - C. ☐ Charge the total additional fee to our Deposit Account No. 13-2490.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 14 day of May, 2002.

By

Thomas A. Fairhall
Reg. No. 34,591



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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references and information of record in the above-identified application. Copies of the cited references are enclosed. The references are also listed in the PTO-1449 form enclosed herewith. It is requested that the references and information be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the

relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §§ 102 or 103.

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CITED REFERENCES

U.S. Patents:

<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Classification</u>
RE.35,169	03/05/96	Lemchen et al.	433/24
5,447,432	09/05/95	Andreiko et al.	433/24
5,431,562	07/11/95	Andreiko et al.	433/24
5,454,717	10/03/95	Andreiko et al.	433/24
3,738,005	06/12/73	Cohen et al.	32/14
6,227,850	05/08/01	Chishti et al.	433/24
6,217,325	04/17/01	Chishti et al.	433/24
6,214,285	04/10/01	Rubbert et al.	266/87

Foreign Patents:

International Publication WO 01/80761, published 11/01/01, International application no. PCT/US01/11969, Inventors: Rubbert et al.

Respectfully submitted.

McDonnell Boehnen Hulbert & Berghoff

Date: 5/14/02

By: Thomas A. Fairhall
Thomas A. Fairhall
Reg. No. 34,591



CERTIFICATE OF MAILING

undersigned hereby certifies that the foregoing INFORMATION DISCLOSURE
STATEMENT is being deposited as first class mail, postage prepaid, in an envelope addressed to
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